Innovation for Our Energy Future

Basic Energy Sciences at NREL Funded by the DOE Office of Science and DOE Office of Energy Efficiency and Renewable Energy





U.S. Department of Energy Energy Efficiency and Renewable Energy

Bringing you a prosperous future where energy is clean, abundant, reliable, and affordable

Satyen K. Deb

Director, Basic Sciences Center
National Renewable Energy Laboratory
Golden, CO 80401

National Renewable Energy Laboratory

- Only national laboratory dedicated to renewable energy and energy efficiency R&D
- Research spans fundamental science to technology solutions
- Collaboration with industry and university partners is a hallmark
- Research programs *linked* to market opportunities



Major NREL Technology Thrusts

Supply Side

Wind Energy

Solar Photovoltaics

Concentrating Solar

Power

Solar Buildings

Biomass Power

Biofuels

Geothermal Energ

Hydrogen



Demand Side

Hybrid Vehicles

Fuels Utilization

Buildings Energy Technology

Federal Energy Management

Advanced Industrial Technologies

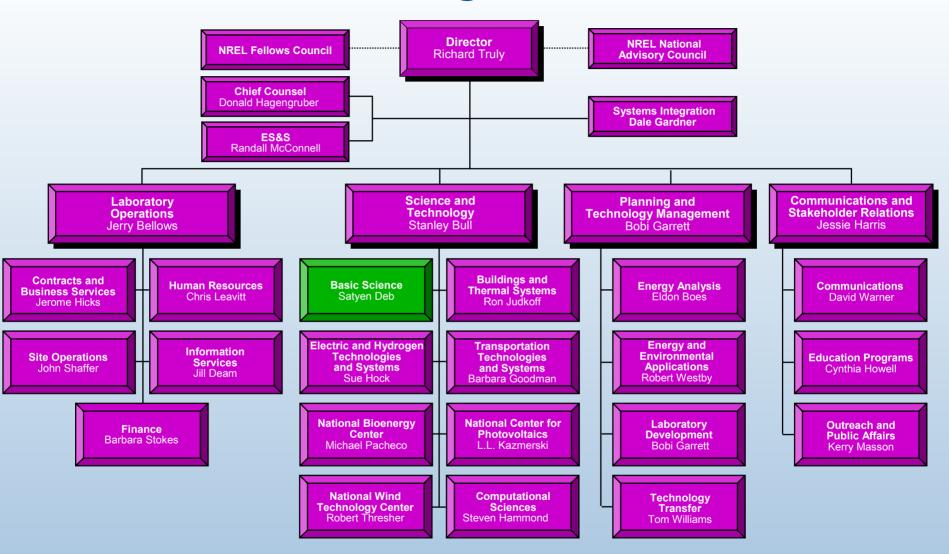
Cross Cutting

Basic Energy Science
Analytical Studies

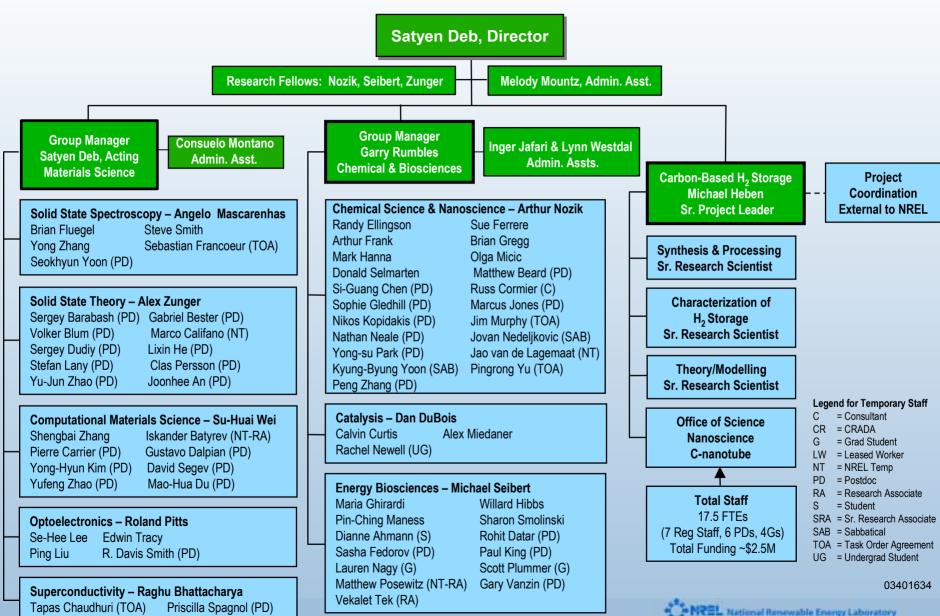
International Programs

Superconductivity

NREL Organization



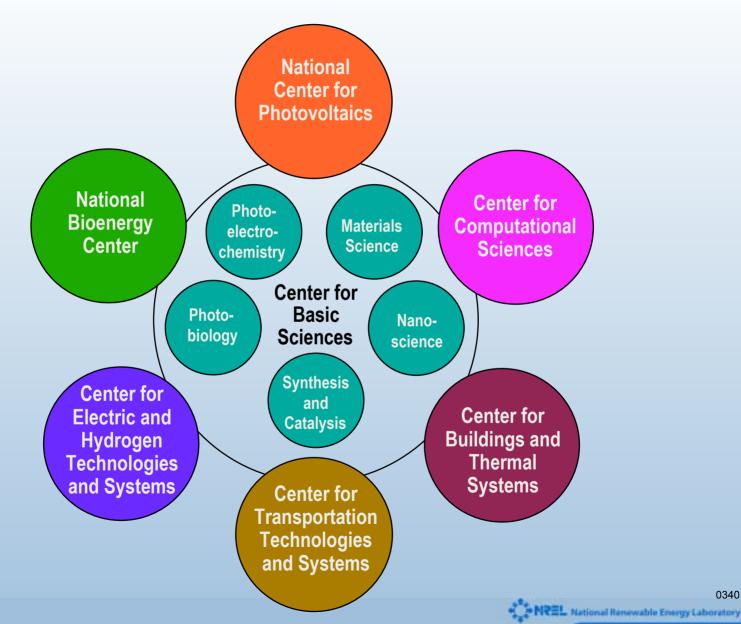
Center for Basic Sciences March 2004



Mission Statement Basic Energy Science at NREL

NREL's Center for Basic Sciences performs fundamental research for DOE's Office of Science and Office of Energy Efficiency and Renewable Energy. Our mission is to provide fundamental knowledge in the basic sciences and engineering that will underpin new and improved renewable energy technologies. In support of this mission, NREL executes research in three areas—materials sciences, chemical sciences, and energy biosciences. NREL also integrates its fundamental research with applied R&D in several projects.

Center for Basic Sciences Interactions



Center for Basic Science Activities Satyen Deb, Director

By Science

Materials Science

- Materials growth
- Thin films
- Nanostructures/ superlattices/ quantum dots
- Characterization (structural, optical and electrical)
- Solid state spectroscopy
- Condensed matter theory
- High T_c superconductors
- Electrochromics

Bio Science

- Photobiology
- Artificial photosynthesis
- Photosynthetic bacteria
- Biochemical processes
- Hydrogenase enzymes
- Chemometric methods

Chemical Science

- Photoelectrochemistry
- Interfacial photochemistry
- Molecular semiconductors
- · Synthesis and catalysis
- Photoelectrolysis
- H₂ generation and storage
- · Advanced batteries
- Nanoscale chemistry
- Colloidal semiconductor quantum dots

By Technology

- Photovoltaics (solar cells)
- Semiconductors and other optoelectronic devices
- Fuel production
- · Hydrogen storage and sensors
- Chemical production
- "Smart" windows
- Fuel cells
- Batteries
- Superconductor
- Solid state LEDs
- CO₂ mitigation
- Bioremediation
- Nanoscale devices

Material Sciences

Goal

The goal of the Materials Sciences projects is to study the structural, optical, electrical, and defect properties of some exciting, new semiconductors and related materials for photovoltaics and other energy-related applications by using the state-of-the-art theoretical and experimental techniques.

Approaches

- Ordering in III-V semiconductor alloy materials
- Physics of isoelectronic co-doping
- Doping bottlenecks in semiconductors
- Carbon nanotubes membranes
- Solid state theory and computational science
- Nanostructure materials
- Novel semiconductor and optoelectronic materials
- High T_C superconducting materials



Photovoltaic Cells

I. 1st Generation

- Single crystal Si
- Poly-grain Si

II. 2nd Generation (Polycrystalline Thin Film)

- Amorphous Si
- Thin film Si
- CulnSe₂
- CdTe
- Dye-sensitized Photochemical Cell
- (High efficiency multi-gap tandem cells)

III. 3rd Generation (n_{theor}>31%; Queisser-Shockley limit)

- Hot electron converters
- Impact ionization cells
- Mid-band PV
- Quantum Dot Solar Cells





Integrated Basic Energy Sciences and Photovoltaic Program at NREL Led to the World Record Efficiency Solar Cell

Several World Record Efficiencies:

29.5% 1 sun flat plate cell

• 30.2% 140-180 sun concentrator cell

25.7% 1 sun space cell

Au 3µm n⁺⁺-GaAs 0.5µm n-AllnP 0.025µm 0.1µm n-GalnP Top cell p-GalnP 0.6µm p-GalnP (high E_a) 0.05µm p++-GaAs 0.01 µm Tunnel junction n++-GaAs 0.01 µm n-GalnP 0.1µm n-GaAs 0.1µm **Bottom** cell p-GaAs 3.5µm p-GalnP 0.07µm p+-GaAs substrate

Gallium Indium Phosphide/Gallium Arsenide Photovoltaic Solar Cell



GalnP₂/GaAs Tandem Solar Cells

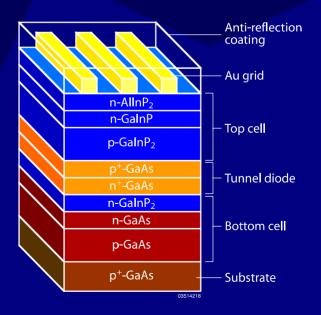








Tandem
GalnP₂/GaAs
Device
World record
29.5% efficiency
(AM1.5; 1-sun)

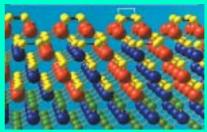


Science of Semiconductor Alloys Leads to Record Solar Cell

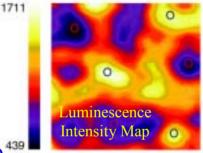
Studies Relate Spontaneous Ordering in a Semiconductor Alloy to Optoelectronic Properties

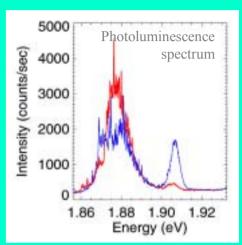
- Superstructure ordering modifies energy band structure.
- Allows tailoring optical properties to optimize solar cell performance.
- Resulted in a record-performance "triple junction" photovoltaic device (32.4% efficiency!)
- These devices are being applied in space-based applications and terrestrial light concentrator devices.

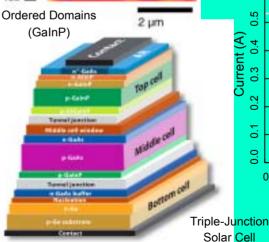
Mascarenhas, Olsen, and Wei, NREL with co-funding by DOE/SC/BES and DOE/EERE

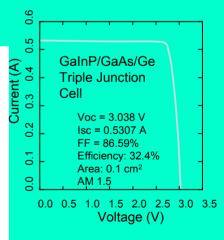


Ordering in GalnP

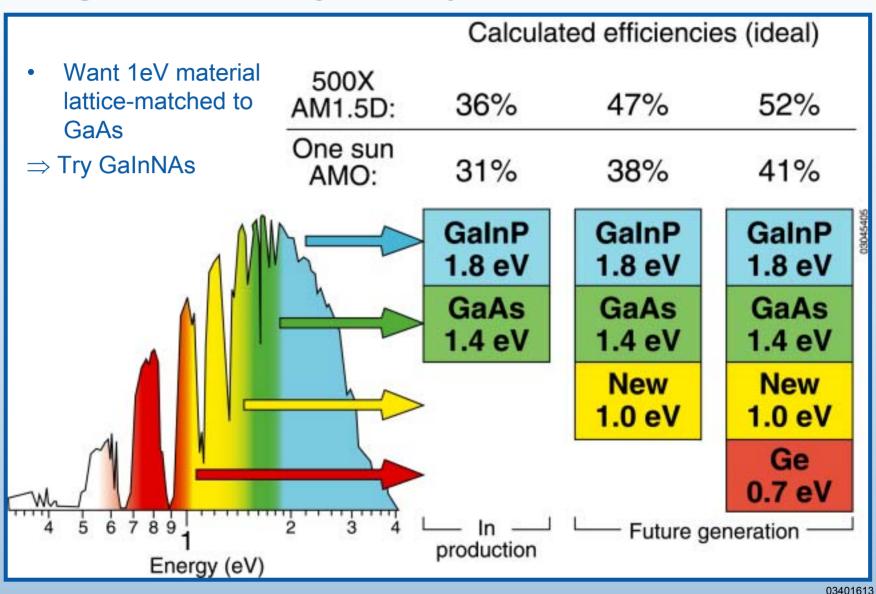








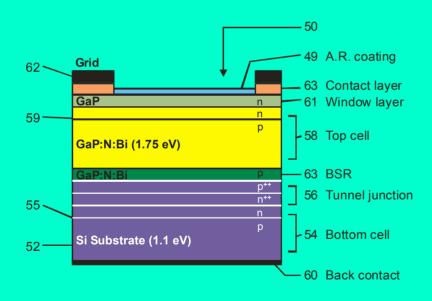
High Efficiency Multijunction Solar Cells



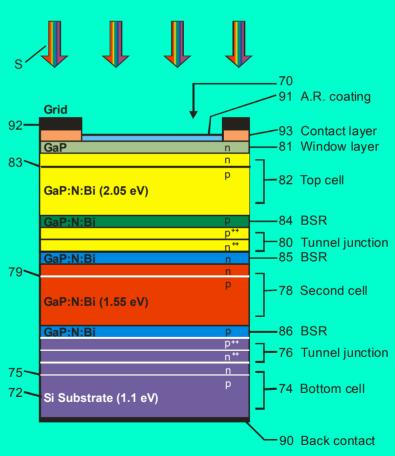
Ultimate Solar Cells

AM1 Efficiency Theoretical: 36%





AM1 Efficiency Theoretical: 40%





Synergy Between Photovoltaic & Semiconductor Technologies

Novel Device Concepts
Lateral Superlattice-based
VCSEL and Polarized Detectors

High Electron Mobility Devices
GaInP DOD Structures

GaAs and InP High Speed Electronics

Heteroepitaxial GaAs on Si Devices

> Synthesis and Processing of Semiconductor Devices

Basic Knowledge of Semiconductor Materials

> Integrated Optics Nanostructured Materials

> > Electroluminescent Devices
> > Blue-Green Lasers and
> > LED's (II-VI and III-V)

High-Purity Float-Zone Silicon IC Technology

Chalcopyrite Semiconductors
Non-linear Optics

TFT for Flat Panel Displays Amorphous Silicon Alloys

Photo Detectors Ordered GaAsSb

Chemical Sciences Goal

The goal of the Chemical Sciences program at NREL is to advance the basic understanding of the relevant science in chemistry, photochemistry, photoelectrochemistry, catalysis, materials chemistry, and semiconductor physics and chemistry that supports evolving solar photochemical conversion technologies; efforts are also made to integrate the advances in the basic chemical sciences into relevant technology development activities.

Approaches

- Synthesis and characterization of novel semiconductor quantum structures
- Dye-sensitized TiO₂ solar cells
- Synthesis of new molecular catalysts for C1 chemistry
- Carrier dynamics and quantization effects
- Liquid crystalline and molecular semiconductors
- Nanoscale chemistry of materials



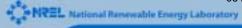
Summary of NREL's Activities in Nanoscience/Nanotechnology

Chemical Sciences

Work on colloidal quantum dots began in 1983 under the sponsorship of BES/Chemical Sciences. NREL researchers were among the first to report on quantization effects in nanosize semiconductor particles (1984); over 80 publications on quantization effects and quantized structures have been published in the peer-reviewed literature, including nanostructured solar cells. Current research is focused on III-V semiconductor quantum dots and quantum dot arrays produced by colloidal chemistry.

Applications

- Novel high efficiency and low cost photovoltaic and photochemical conversion of solar photons to fuels, chemicals, and electricity
 - Quantum dot solar cells
 - Dye-sensitized nanocrystalline photochemical solar cells
- Photocatalysis/photodestruction of pollutants
- Catalysis and fuel production



Center's Research Activities on Nanoscience/ Nanotechnology Supported by DOE/BES

- Controlled growth and characterization of nanoscale materials (epitaxial, solution, and self-organized growth)
- Synthesis and characterization of carbon-nanotubes (hydrogen storage and separation membranes)
- Biological approach to nanostructure fabrication (interaction with protein)
- Electronic coupling of self-assembled quantum dots (QDs)
- Photo-induced electron transfer between QDs and host materials (sensitized TiO₂ solar cell)
- QDs for ultra-high efficiency solar cells
- Nanomaterials for catalysis and environmental remediation
- Theory and simulation electronic structure of QDs

Consequences of Quantization

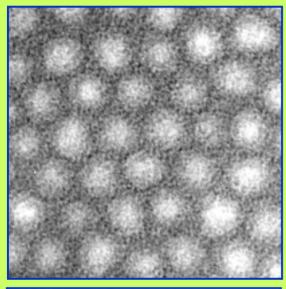
- Dramatic variation of optical and electronic properties
- Large blue shift of absorption edge
- Discrete energy levels/structured absorption and photoluminescence spectra
- Enhanced photoredox properties for photogenerated electrons and holes
- Greatly slowed relaxation and cooling of photogenerated hot electrons and holes
- PL blinking in single QDs
- Enhanced Inverse Auger

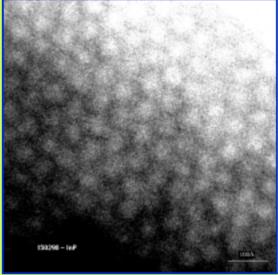
(impact ionization)

- Conversion of indirect semiconductors to direct semiconductors or vice versa
- Greatly enhanced exciton absorption at 300 K
- Greatly enhanced oscillator strength per unit volume (absorption coefficient)
- Greatly enhanced non-linear optical properties
- Greatly modified pressure dependence of phase changes and direct to indirect transitions
- Efficient anti-Stokes luminescence







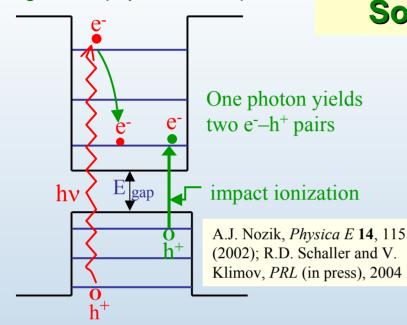


InP Nanoparticle Arrays

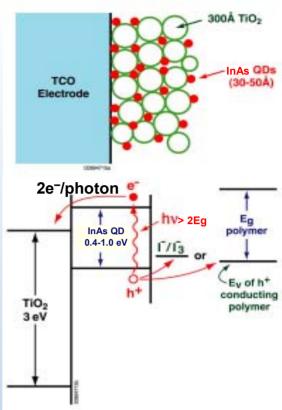
TEM of hexagonal ordered array of ~50 Å diameter colloidal InP QDs.
Top panel: 2D ordered array. Bottom panel: Ordered array shows multiple layers and a step to a single layer.

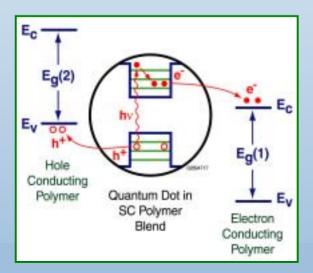
Enhanced Photovoltaic Efficiency in Quantum Dot Solar Cells by Inverse Auger Effect (Impact Ionization)

Quantum Dot Solar Cells



QD-Sensitized Nanocrystalline TiO₂ Solar Cell

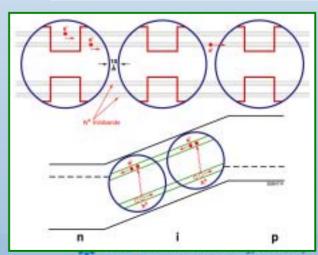




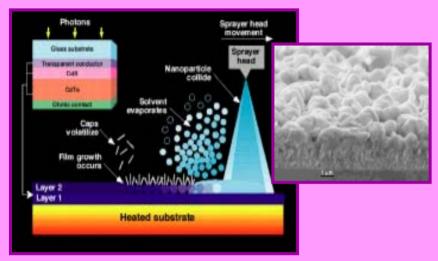
QD-Conducting Polymer Blend Solar Cell



p-i-n QD Array Solar Cell

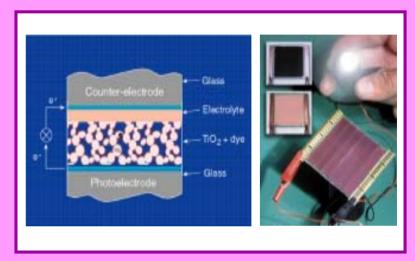


Novel PV Concepts and New Materials— Research Issues and Directions



Nanoparticle-derived precursors for PV

- Potential for very low cost
- Low process temperatures, non-vacuum
- Potential for smooth, dense films
- Absorbers (CdTe, CIS, ...)
- •• Transparent conductors (SnO₂, CdS, ...)
- Contacts (Ag, Au, Pt, ...)
- Nanocrystals, nanotubes, nanorods
- Nanocharacterization



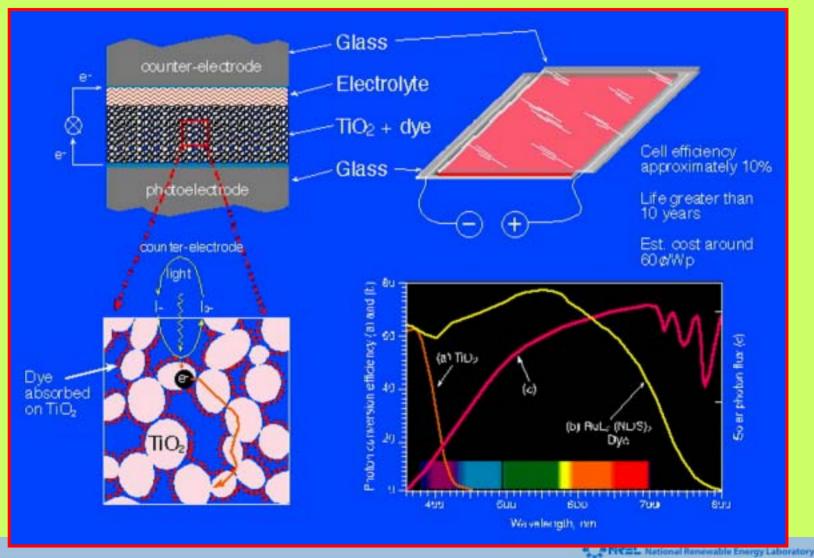
Dye-sensitized TiO₂ photochemical cells

- Potential for very low cost
- •• Nanocrystalline TiO₂, with monolayer dye sensitizer,

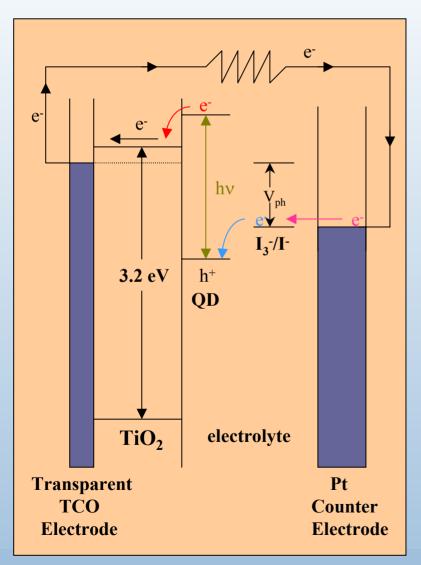
in liquid electrolyte

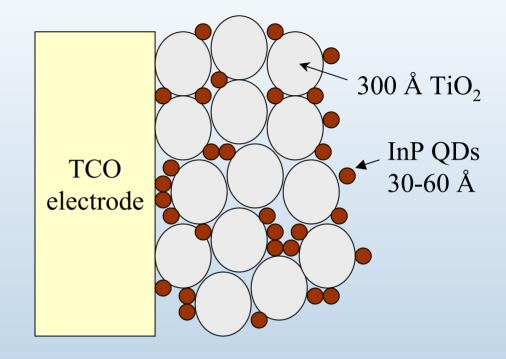
- •• 11%-efficient cell; scale-up for consumer products underway
- Dye stability issue
- Gel or solid-state electrolytes in resear

Dye Sensitized TiO₂ Solar Cell



Quantum Dot Sensitized TiO₂ Solar Cell

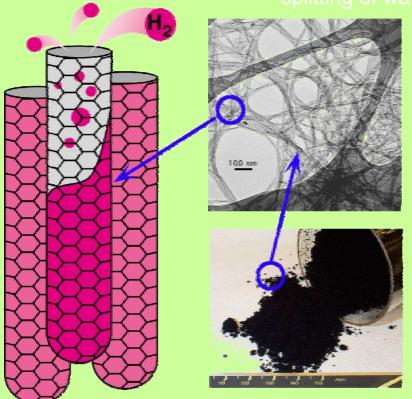




- -Analogous to dye-sensitized TiO₂ solar cells
 - -10 to 20 μ m film of NC TiO₂ (10-30 nm)
 - -Ru dyes ⇒ Efficiency ~ 11%
- -Advantages of QD's as sensitizers:
 - -possibility of slowed hot e- cooling
 - -possibility of impact ionization
 - -tunable absorption ational Renewable Energy Laboratory

Hydrogen Research

Hydrogen from photoelectrochemical splitting of water



Carbon nanotubes for storage

Mutant algal strains used to split water and produce hydrogen in the photobioreactor pictured in the background



h103595y Laboratory

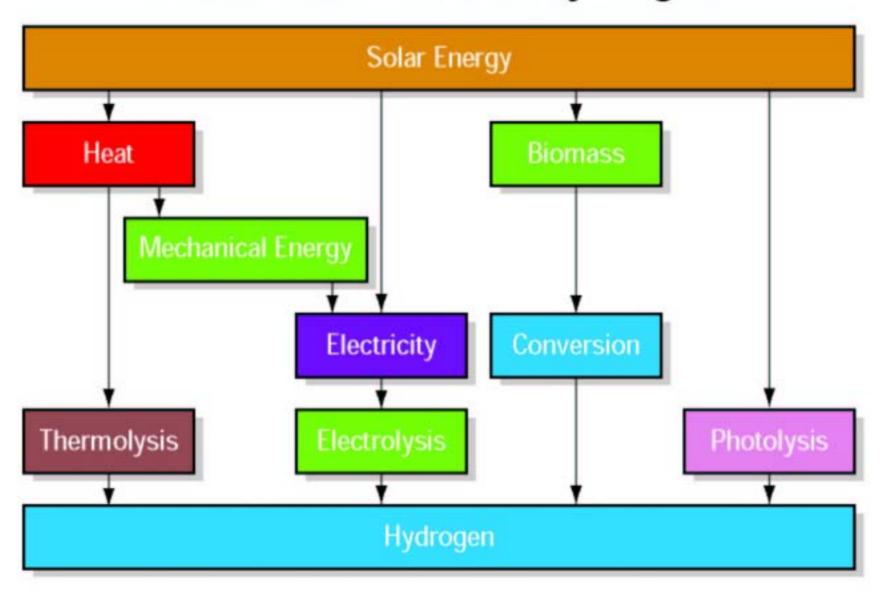
Hydrogen: A National Initiative

"Tonight I'm proposing \$1.2 billion in research funding so that America can lead the world in developing clean, hydrogen-powered automobiles... With a new national commitment, our scientists and engineers will overcome obstacles to taking these cars from laboratory to showroom, so that the first car driven by a child born today could be powered by hydrogen, and pollution-free."

President Bush, State-of the-Union Address, January 28, 2003



Sustainable Paths to Hydrogen



World Record Photoelectrolysis Device Science, April 17 1998.

Novel cell uses light to produce H₂ at 12.4% efficiency Current p-GalnP2 n-GaAs o-GaAs -Tunnel diode Ohmic contact interconnect Photovoltaic cell Photoelectrochemical cell Note: n and p refer to n- and p-type semiconductors Credit: Adapted with permission from Science, copyright 1996 AAAS

- Direct water electrolysis.
- Unique tandem (PV/PEC) design.



Experimental Cell

Biological Sciences

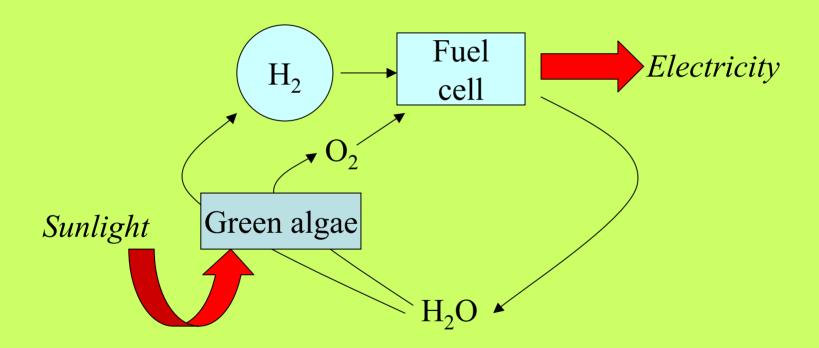
Goal

The goal of the Biological Sciences program at NREL is to advance basic understanding of bacterial and plant photosynthesis, including relevant metabolic pathways, and to apply that knowledge to develop renewable fuels, chemicals, and materials, as well as address environmental problems.

Approaches

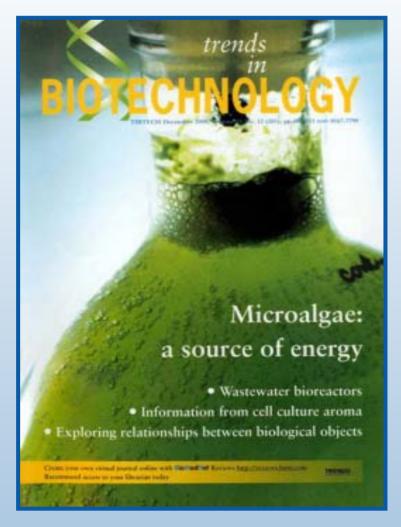
- Basic studies of photosynthetic water-splitting in plants and algae
- Regulating H₂ and CO₂ metabolism in algae

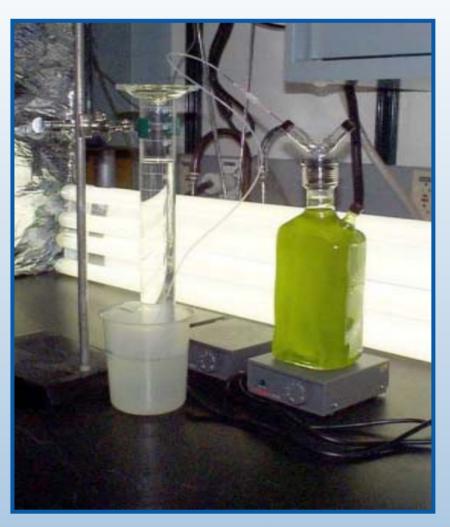
RENEWABLE HYDROGEN PRODUCTION/UTILIZATION





A Novel Algal H₂-Production System

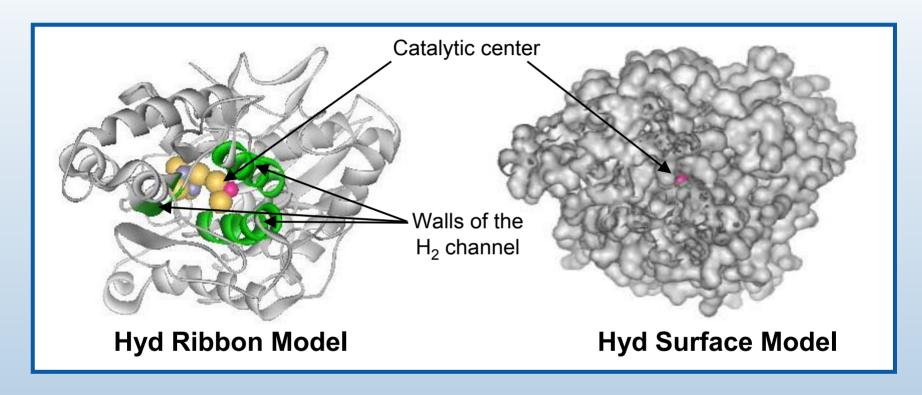




H₂ being produced by *Chlamydomonas*

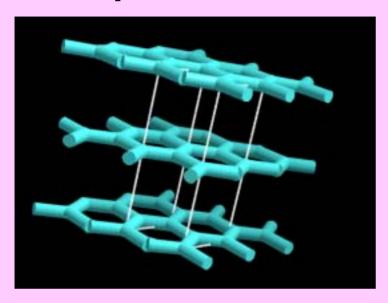
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Biohydrogen by Engineering an O₂-Tolerant Hydrogenase

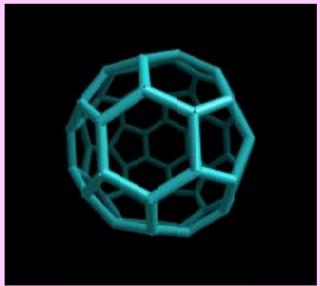


Molecular Engineering: Detailed structural modeling of the Hyd proteins is allowing us to develop a knowledge-based means to genetically engineer O₂ tolerance into algal hydrogenases.

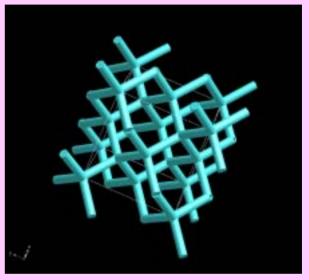
Graphite

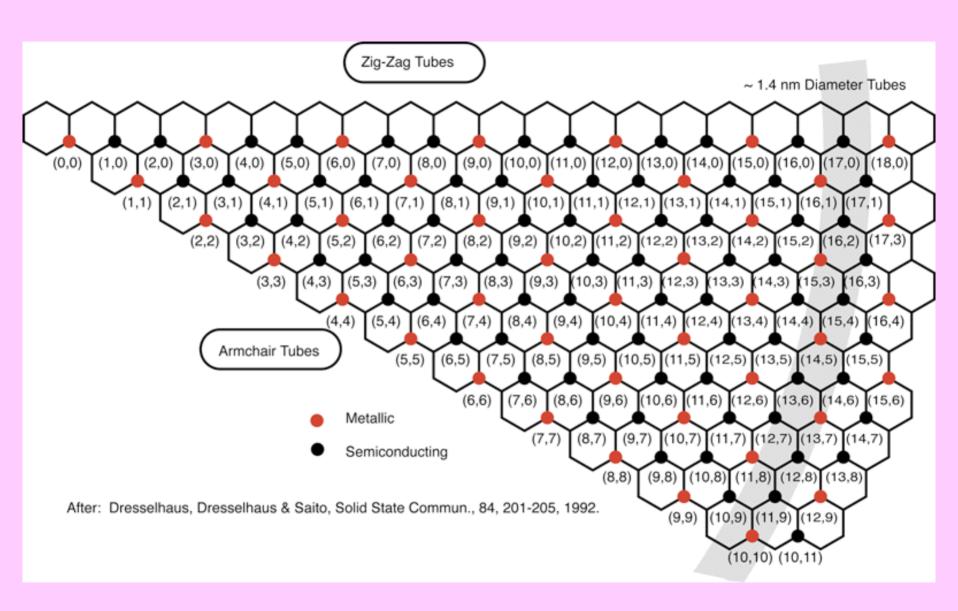


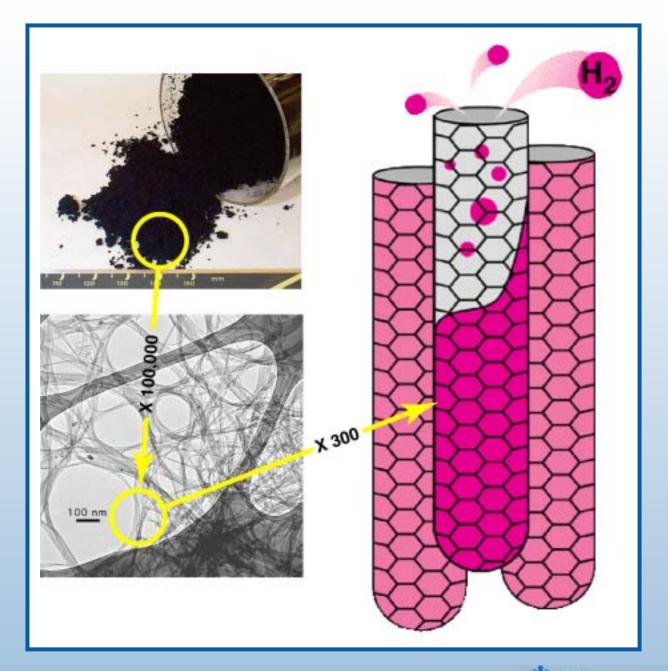




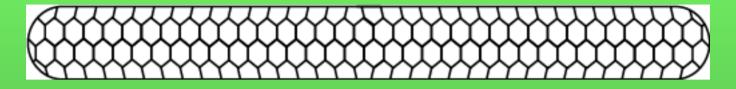
Diamond





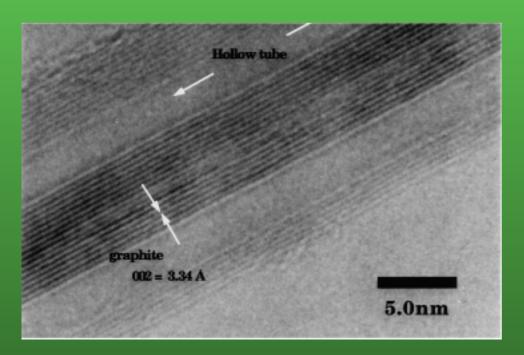


Single-wall Carbon Nanotubes



Single sheet of graphite wrapped into a tube: microns in length, ~1-2 nm diameter, capped.

Multi-wall Carbon Nanotubes



Concentric cylinders of graphite with a hollow center, capped.

Spacing between each cylinder is similar to the inter-planar spacing in graphite.

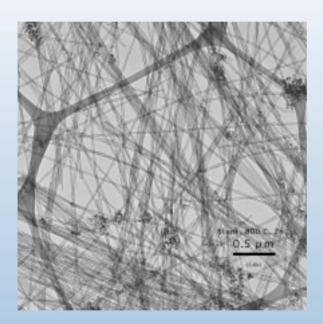
Generally 2-50 shells, microns in length.

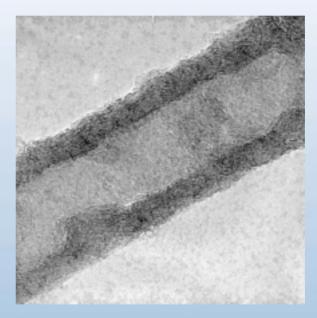
Inner and outer diameters are typically 2-10 and 15-50 nm.

Innovation for Our Energy Future

Template-synthesized Carbon Nanotubes

several layers of poorly graphitized carbon with limited domain size.





SWNT Technologies

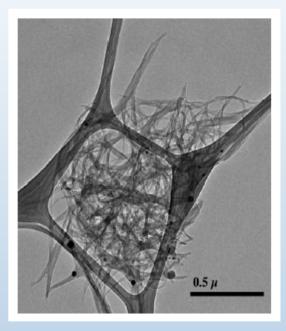
- Hydrogen storage
- •Gas separation membranes
- •Rechargeable Li Ion batteries
- •Electrically conducting polymer and ceramic composites
- •Ultracapacitors
- ·High-strength, high-temperature, low-weight composites
- Energy absorbing armor
- Heat dissipation / shielding
- •Nanoscale wires & interconnects
- •Electromagnetic shielding
- Artificial muscle
- Field emission displays
- Chemical and Biological sensors
- Toxic gas adsorbents

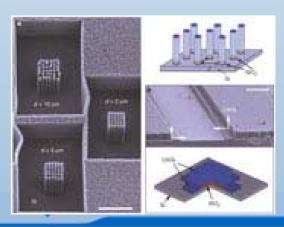
Single-Wall Carbon Nanotubes

- Capacity around 4 wt%
- Doped SWNTs to 7 wt% by NREL
- Ambient temperature, moderate pressure
- SWNTs are made by arc discharge, laser vaporization, CVD, and lithographically
- SWNTs must be purified, cut, and the ends opened

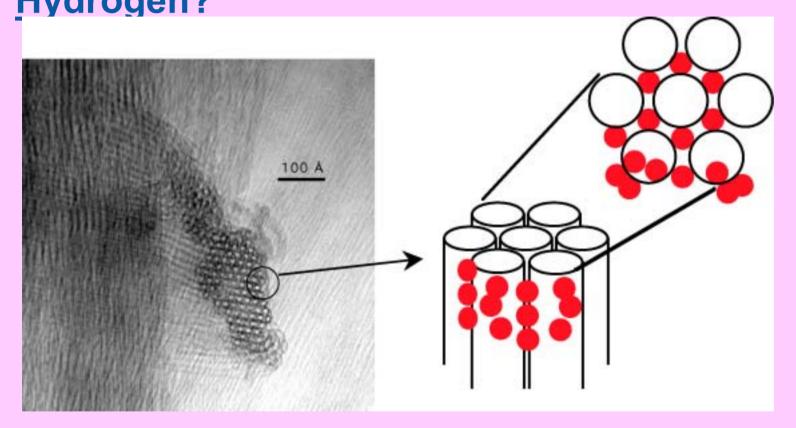
Reproducibility is a critical issue.

Wei, B. Q., et al, Nature, Vol. 416, April 2002



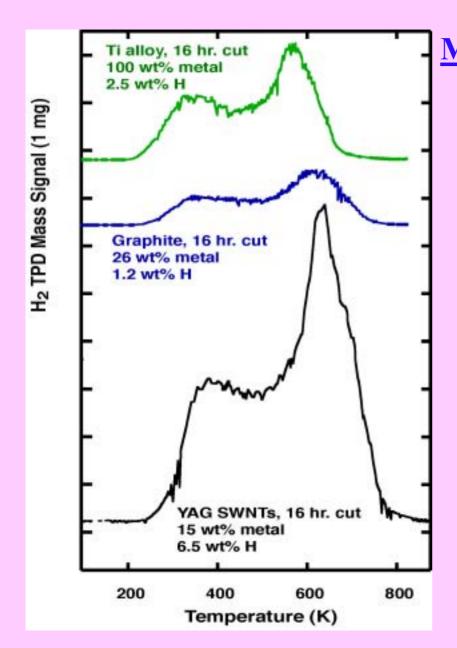


SWNTs: An Ideal Adsorbent for Hydrogen?



Non-dissociative adsorbtion of H_2 at near ambient conditions. Heat of adsorption = 19.6 kJ/mol.

A.C. Dillon, K.M. Jones, T.A.Bekkedahl, C.H. Kiang, D.S. Bethune, & M.J. Heben, Nature (386) 377, 1997.



Metal Facilitated Hydrogen Storage in Graphitic Carbon Materials

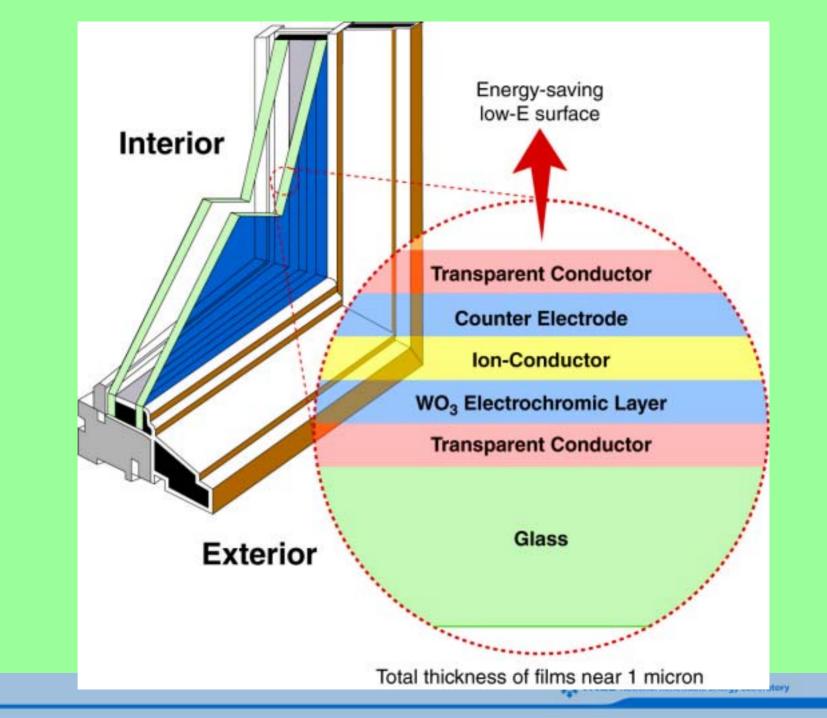
Hydrogen exposures are ~5 min. at room temperature and 500 Torr.

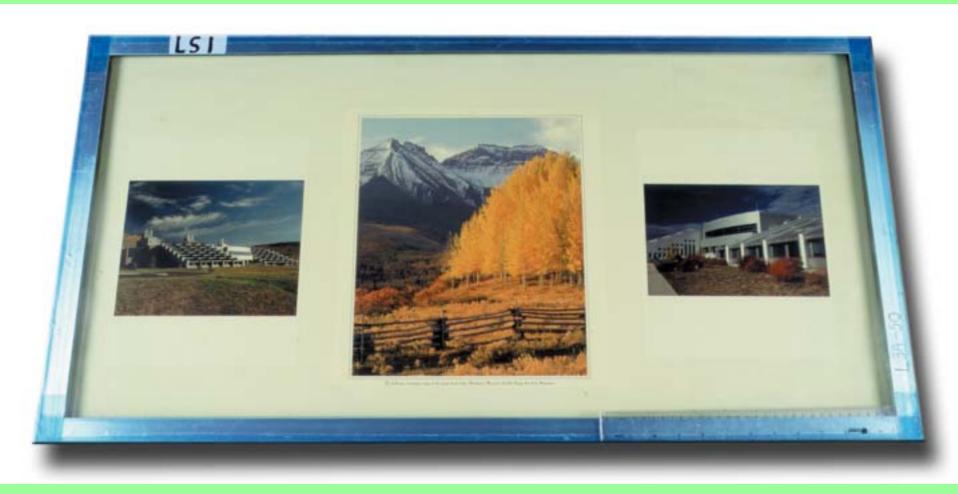
Ti6Al4V alloy is a metal hydride that can store hydrogen at ~2.5-3.0 wt%.

It also appears to activate H₂ storage on graphitic carbon materials.

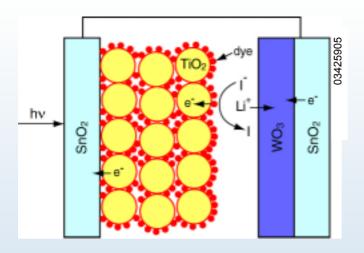
Mixtures of the metal alloy with both graphite and SWNTs results in overall hydrogen storage capacities that are much greater than what would be observed for the alloy alone.





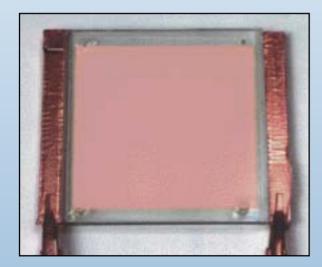




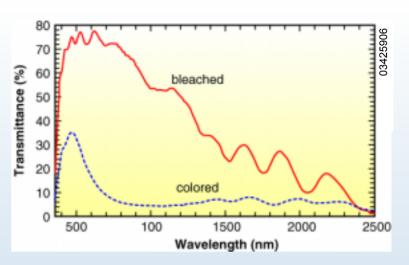


Schematic diagrams of a dye-sensitized electrochromic smart window.

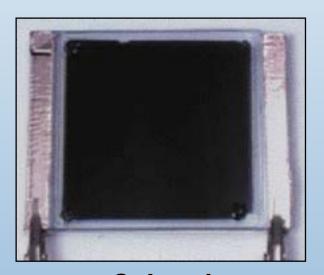
B.A. Gregg, Endeavour Vol. 21(2) 1997.



Bleached

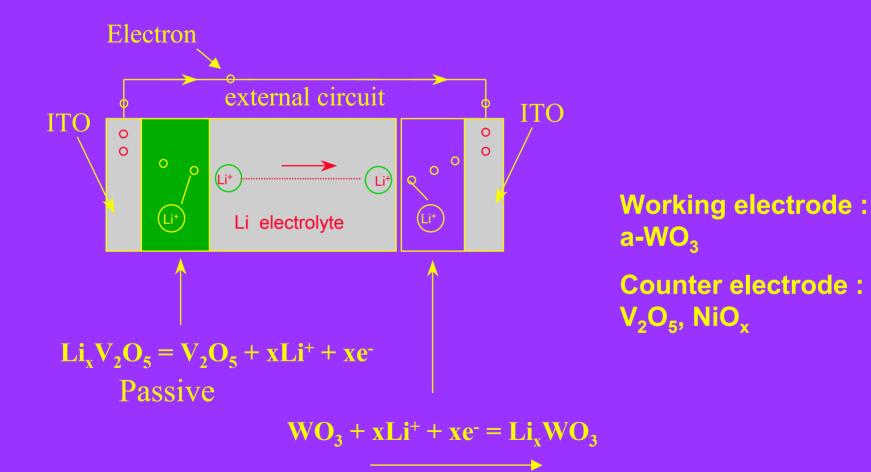


Transmittance spectra of an experimental solid-state electrochromic cell in both the bleached and colored states.



Colored
National Renewable Energy Laboratory

Typical Electrochromic Device

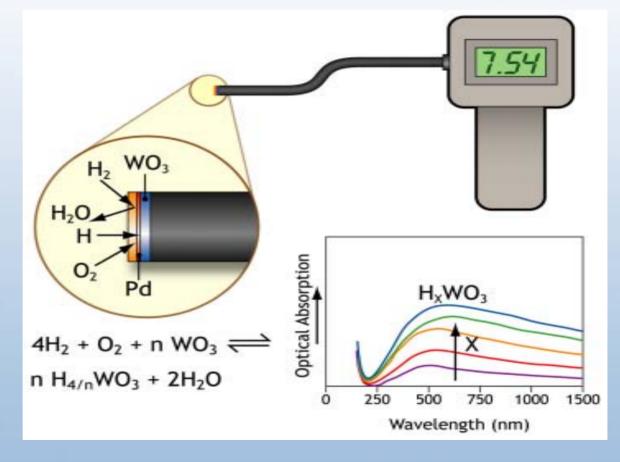


Coloration

Innovation for Our Energy Future

Chemochromic Hydrogen Sensors

Roland Pitts
Ping Liu
Dave Smith
Se-Hee Lee
Ed Tracy





Program Objectives

- The U.S. Department of Energy's vision is, "Low-cost, high performance YBCO coated conductors will be available in 2005 in kilometer lengths. For applications in liquid nitrogen, the wire cost will be less than \$50/KA-m, while from applications requiring cooling to temperature of 20–60 K the cost will be less than \$30/kA-m."
- One of the important critical needs to achieve this goal is to develop a simple low-cost technology for producing HTS and also buffer layer for Ni-alloy substrates.
- NREL's project offers non-vacuum electrodeposition and spray deposition processing technology, which is a potentially low-cost, long length, continuous process. At present, we are working on development of both HTS and buffer layer employing electrodeposition and spray deposition process.
- At NREL, we are able to prepared very high-quality electro-deposited superconductor films. The electrodeposited films produced results which are competitive with the best vapor-deposited (e.g., sputtering, evaporation, PLD) HTS.

Electrical variables:

Potentials (V) (half-cell potential,

overpotential, ...)

Current (I)

Electrode variables:

- Material
- Surface area
- Geometry

 $Pb^{2+} + 2e^{-}$ = Pb $Bi^{3+} + 3e^{-} = Bi$ $Ba^{2+} + 2e^{-}$ = Ba $Sr^{2+} + 2e^{-}$

Electrical variables:

- Mode (diffusion, convection)
- Surface concentration

Solution variables:

- Bulk concentration of electroactive (C_O, C_R)
- Concentrations of other species (electrolyte,

Adsorption

02916504

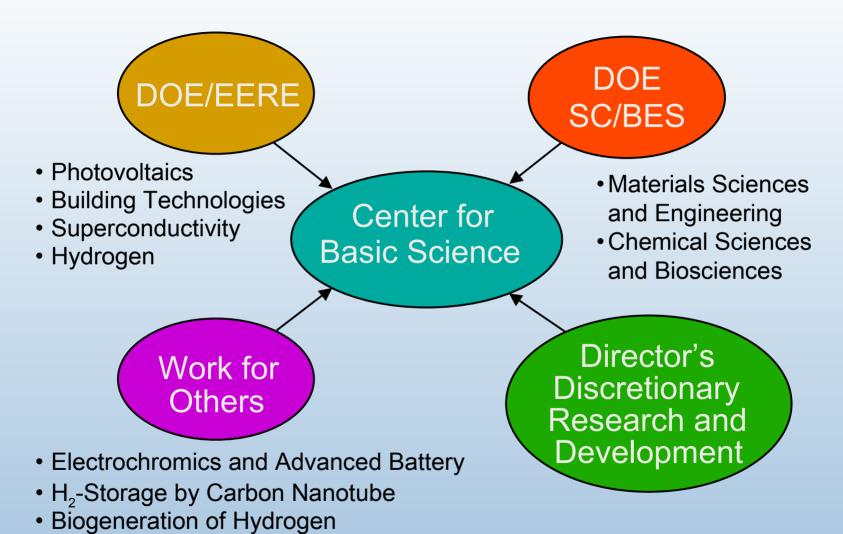
Why Employ the Electrodeposition Process

- Electrodeposition offers a potential low cost, high rate, continuous, multi-component deposition process.
- Relatively simple equipment and thus low-cost capital equipment required for large scale commercial investment.
- Controlled deposition rates and effective material utilization.
- Minimum waste generation (solution can be recycled).

Conclusions

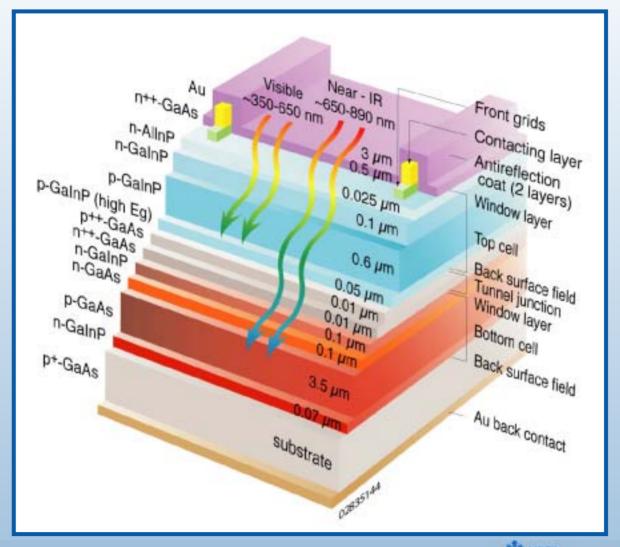
- The cutting-edge research conducted at NREL provides crucial scientific base underpinning renewable energy technologies.
- Synergism between BES supported basic research and the energy technology programs supported by EERE and colocation of programs at NREL led to outstanding successes in technology development and transfer to industries.
- Our outstanding record of scientific accomplishments through research supported by BES and EERE has made NREL the premier research institution in the field of renewable energy in the world.
- The BES funded research enabled NREL to support a large number of post-doctoral and visiting scientists who have made major contributions to our program as well as providing trained manpower to the nation.

Projects and Funding Sources

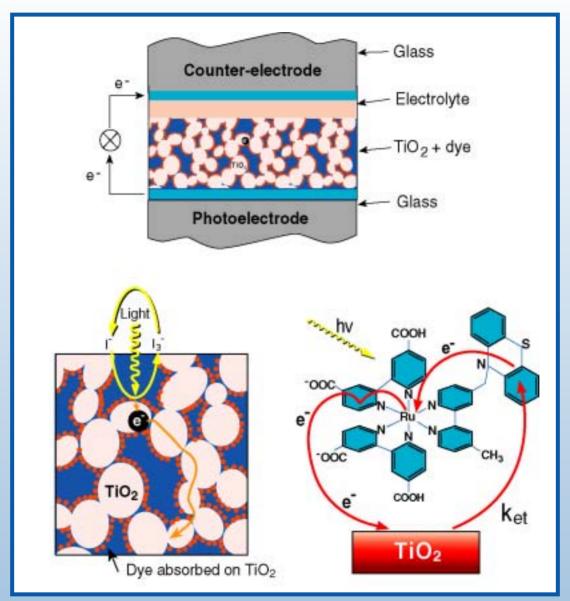


Solid State Theory

Structure of 30.2%-efficient GalnP₂/GaAs Two-Junction PV Cell



Dye-Sensitized TiO₂ Solar Cell



Valid Thermodynamic Approaches to Achieve Photon Conversion Efficiencies > 32% (Exceeding the Shockley-Queisser Limit)

- 1. Tandem Cells
- 2. Hot Carrier Conversion
 - a. Extract, collect, and utilize hot carriers
 - b. Impact ionization
- 3. Intermediate Band Solar Cell
- 4. Thermophotonic Solar Cells
- 5. Down conversion and upconversion of incident photons

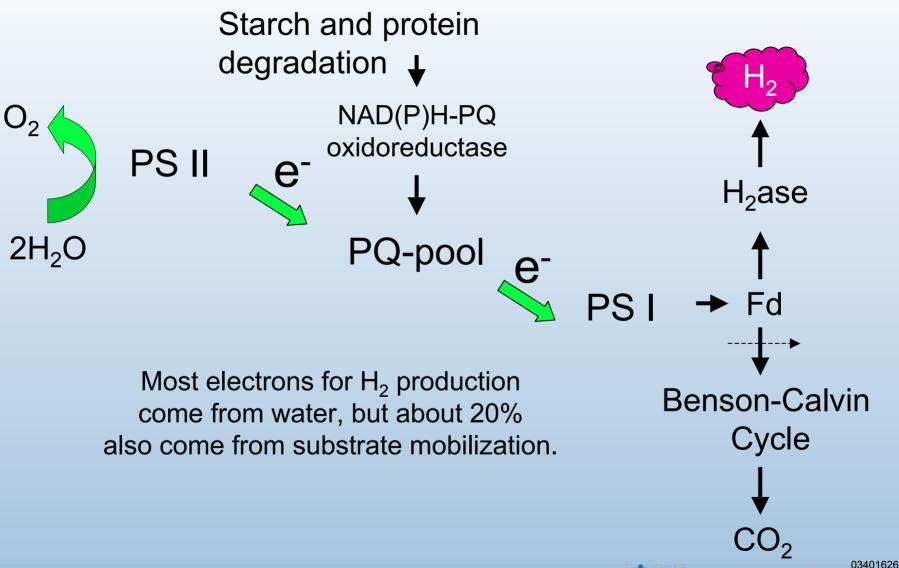
See:

M. Green, "Third Generation Photovoltaics". Springer, 2003

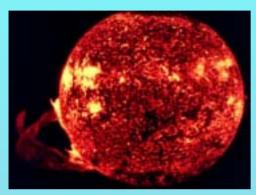
A. Marti and A. Luque, "Next Generaton Photovoltaics", Inst.

Of Physics Series in Optics and Optoelectronics, 2003

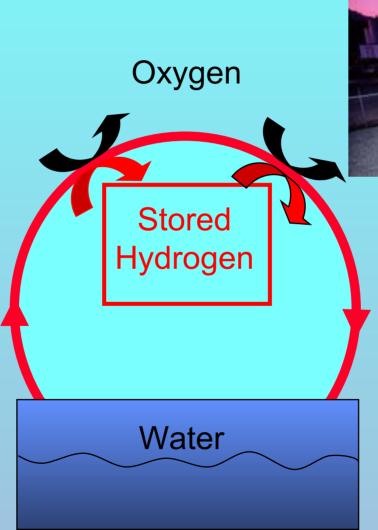
Mechanism of H₂ Production



Closed-Cycle Hydrogen Energy Economy



Inputs:
Solar Energy and
Water





Electricity, heat and Water

Outputs:

Summary of NREL's Activities in Nanoscience/Nanotechnology (concluded)

Biotechnology

This research involves the integration of multi-subunit proteins, such as the bacterial cellulosomes, with nanoscience technologies in order to benefit from the self-assembly and modular nature of such proteins. NREL has worked in the fields of protein and metabolic engineering for nearly two decades. Much of the current focus, funded by DOE biofuels and industry, includes projects aimed at the improvement of cellulase enzymes by site-directed-mutagenesis and directed evolution, as well as the development of new microbial catalysts by manipulation of metabolic pathways. This work has resulted in 90 peer-reviewed publications, 4 books, and 12 patents.

Applications

- Novel quantum dot arrays created with self-assembling proteins
 - Quantum dot solar lasers
 - Light-emitting diodes
 - Quantum dot photoconverters



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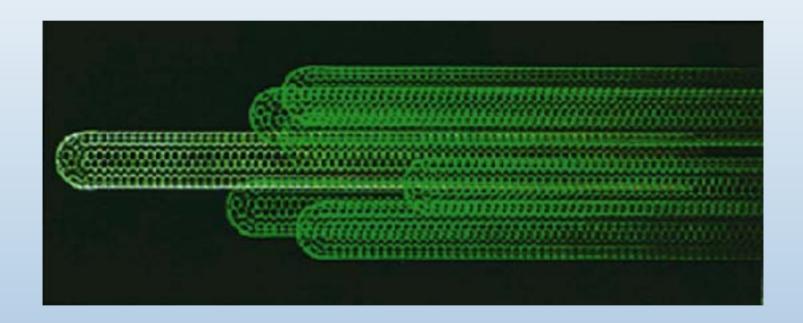
On-Board Hydrogen Storage Challenge

Storing enough hydrogen on vehicles to achieve greater than 300 miles driving range is difficult.

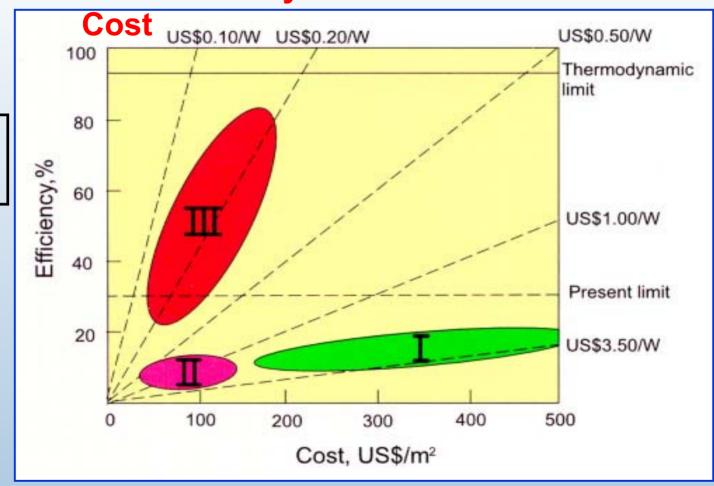
- On a weight basis H₂ has nearly three times the energy content of gasoline.
 - 120 MJ/kg vs. 44 MJ/kg (LHV)
- On a volume basis the situation is reversed.
 - -3 MJ/L (5000 psi), 8 MJ/L (LH₂) vs. 32 MJ/L
- Physical storage of hydrogen is bulky.
- Capacity of reversible chemical storage at useful T, P is low.
- Other challenging issues include energy

officioney cost and safety

Carbon Single-walled Nanotubes (SWNT)



PV Power Costs as Function of Cell Efficiency and Module



For PV or PEC to provide the level of C-free energy required for electricity and fuel—power cost needs to be 2-3 cents/kWh (\$0.40 – \$0.60/W)

From: Martin Green, UNSW